



**CERTIFICATION OF QUALIFIED  
HEALTH PROFESSIONAL  
(INVOLUNTARY TREATMENT-SUBSTANCE USE DISORDER)**

Case No. \_\_\_\_\_  
Court \_\_\_\_\_ District \_\_\_\_\_  
County \_\_\_\_\_  
Division \_\_\_\_\_

IN THE INTEREST OF:

RESPONDENT \_\_\_\_\_

1. Comes the Affiant, \_\_\_\_\_, and states that he/she is a Qualified Health Professional as defined in KRS Chapter 222, and he/she is,

- A Qualified Mental Health Professional as defined in KRS 202A.011; and/or
- An Alcohol and Drug Counselor certified under KRS Chapter 309; and/or
- A Physician, licensed under the laws of Kentucky to practice medicine or osteopathy, or a medical officer of the government of the United States while engaged in the performance of official duties.

2. Affiant further states that he/she examined the above-named Respondent and based on that examination, in his/her professional opinion, the Respondent

- A.  **does**  **does not** suffer from a substance use disorder; and
- B.  **does**  **does not** present an imminent threat of danger to self, family or others as a result of a substance use disorder; **or there**
  - does**  **does not** exist a substantial likelihood of such a threat in the near future; and
- C.  **can**  **cannot** reasonably benefit from treatment.

3. The facts that support Affiant's belief that Respondent does suffer from a substance use disorder:

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4. The facts that support Affiant's belief that Respondent presents an imminent threat of danger to self, family or others as a result of a substance use disorder or that there exists a substantial likelihood of such a threat in the near future:

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5. Diagnostic impressions:

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6. Other factors contributing to need for treatment:

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7. Goal of treatment and recommendation for treatment:

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8. Date examination was performed: \_\_\_\_\_, 2\_\_\_\_

Further, Affiant sayeth naught.

\_\_\_\_\_, 2\_\_\_\_  
Date

\_\_\_\_\_  
Signature of QHP

\_\_\_\_\_  
Name of QHP (*please print*)

\_\_\_\_\_  
Title of QHP (*please print*)

\_\_\_\_\_  
Name of Treatment Facility of QHP (*please print*)

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 2\_\_\_\_

My Commission Expires: \_\_\_\_\_

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
County, Kentucky

**NOTE:** The Respondent shall be examined no later than twenty-four (24) hours before the hearing date by two (2) Qualified Health Professionals, at least one (1) of whom is a physician. A separate Certification of Qualified Health Professional (AOC-703A) must be filed with the Court by each of the two (2) Qualified Health Professionals named in the Hearing, Examination and Appointment of Counsel Notice and Order (AOC-701A). The Qualified Health Professionals shall certify their findings to the Court within twenty-four (24) hours of the examination. See page 3 for more information on Qualified Health Professionals.

**Petitioner is responsible for all costs of the examination.**

## CERTIFICATION

**Note: If for 72-hour involuntary treatment, Certification is to be completed and filed by ONE "Qualified Health Professional." If for 60/360 day involuntary treatment, Certification is to be completed and filed by each of TWO "Qualified Health Professionals," one of whom must be a licensed physician.**

**Criteria for each professional are listed below**

**"Qualified health professional"** has the same meaning as qualified mental health professional in KRS 202A.011, except that it also includes an alcohol and drug counselor certified under KRS Chapter 309.

**"Qualified mental health professional" under KRS 202A.011(12) means:**

- a. A **physician** licensed under the laws of Kentucky to practice medicine or osteopathy, or a medical officer of the government of the United States while engaged in the performance of official duties.
- b. A **psychiatrist** licensed under the laws of Kentucky to practice medicine or osteopathy, or a medical officer of the government of the United States while engaged in the practice of official duties, who is certified or eligible to apply for certification by the American Board of Psychiatry and Neurology, Inc.
- c. A **psychologist** with the health service provider designation, a **psychological practitioner**, a **certified psychologist**, or a **psychological associate**, licensed under the provisions of KRS Chapter 319.
- d. A **licensed registered nurse** with a master's degree in psychiatric nursing from an accredited institution and two (2) years of clinical experience with mentally ill persons; or a licensed registered nurse, with a bachelor's degree in nursing from an accredited institution, who is certified as a psychiatric and mental health nurse by the American Nurses Association and who has three (3) years of inpatient or outpatient clinical experience in psychiatric nursing and is currently employed by a hospital or forensic psychiatric facility licensed by the Commonwealth or a psychiatric unit of a general hospital or a private agency or company engaged in provision of mental health services or a regional community program for mental health and individuals with an intellectual disability.
- e. A **licensed clinical social worker** licensed under the provisions of KRS 335.100, or a certified social worker licensed under the provisions of KRS 335.080 with three (3) years of inpatient or outpatient clinical experience in psychiatric social work and currently employed by a hospital or forensic psychiatric facility licensed by the Commonwealth or a psychiatric unit of a general hospital or a private agency or company engaged in the provision of mental health services or a regional community program for mental health and individuals with an intellectual disability.
- f. A **marriage and family therapist** licensed under the provisions of KRS 335.300 to 335.399 with three (3) years of inpatient or outpatient clinical experience in psychiatric mental health practice and currently employed by a hospital or forensic facility licensed by the Commonwealth, a psychiatric unit of a general hospital, a private agency or company engaged in providing mental health services, or a regional community program for mental health and individuals with an intellectual disability.
- g. A **professional counselor** credentialed under the provisions of KRS Chapter 335.500 to 335.599 with three (3) years of inpatient or outpatient clinical experience in psychiatric mental health practice and currently employed by a hospital or forensic facility licensed by the Commonwealth, a psychiatric unit of a general hospital, a private agency or company engaged in providing mental health services, or a regional community program for mental health and individuals with an intellectual disability.
- h. A **physician assistant** licensed under KRS 311.840 to 311.862, who meets one (1) of the following requirements:
  1. Provides documentation that he or she has completed a psychiatric residency program for physician assistants;
  2. Has completed at least one thousand (1,000) hours of clinical experience under a supervising physician, as defined by KRS 311.840, who is a psychiatrist and is certified or eligible for certification by the American Board of Psychiatry and Neurology, Inc.;
  3. Holds a master's degree from a physician assistant program accredited by the Accreditation Review Commission on Education for the Physician Assistant or its predecessor or successor agencies, is practicing under a supervising physician as defined by KRS 311.840, and:
    - a. Has two (2) years of clinical experience in the assessment, evaluation, and treatment of mental disorders; or
    - b. Has been employed by a hospital or forensic psychiatric facility licensed by the Commonwealth or a psychiatric unit of a general hospital or a private agency or company engaged in the provision of mental health services or a regional community program for mental health and individuals with an intellectual disability for at least two (2) years; or
  4. Holds a bachelor's degree, possesses a current physician assistant certificate issued by the board prior to July 15, 2002, is practicing under a supervising physician as defined by KRS 311.840, and:
    - a. Has three (3) years of clinical experience in the assessment, evaluation, and treatment of mental disorders; or
    - b. Has been employed by a hospital or forensic psychiatric facility licensed by the Commonwealth or a psychiatric unit of a general hospital or a private agency or company engaged in the provision of mental health services or a regional community program for mental health and individuals with an intellectual disability for at least three (3) years.

**"Certified Alcohol and Drug Counselor" under KRS 309.080 means** a person certified by the Kentucky Board of Alcohol and Drug Counselors pursuant to KRS 309.080 to 309.089.